# **SECTION FIVE: Appendices**

## **Appendix 1. Autopsies**

The autopsy is a critical component in accurately determining the cause of death, especially in the case of sudden infant deaths. RSMo 194.117 requires that an autopsy be performed for all children from 1 week to 1 year of age who die in a sudden, unexplained manner.

Missouri's Certified Child-Death Pathologist Network ensures autopsies performed on children, birth through age 17, are performed by professionals with expertise in forensic pediatrics. Additionally, network members are available to consult with coroners and others investigating child deaths. A listing of network members can be obtained through STAT or on the Internet at www.dss.state.mo.us/stat/cpn.htm

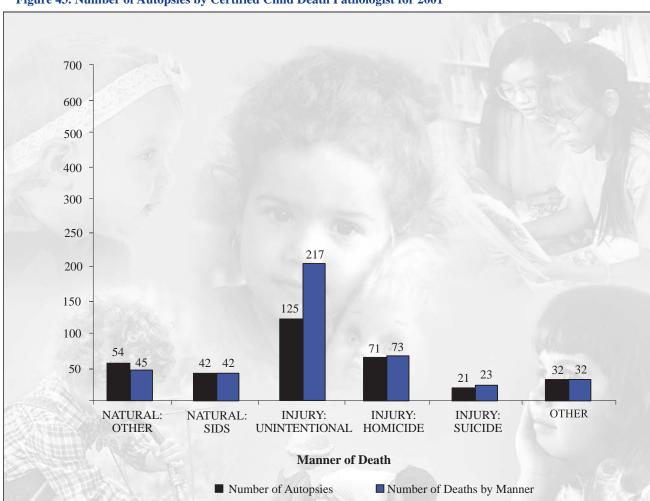


Figure 43. Number of Autopsies by Certified Child Death Pathologist for 2001

## **Appendix 2. Mandated Activities for Child Fatalities**

Every county must have a multidisciplinary child fatality review panel (114 counties and City of St. Louis).

The county panel must consist of at least the following seven core members: prosecuting attorney, coroner/medical examiner, law enforcement representative, Division of Family Services representative, public health representative, juvenile officer and emergency medical services representative. Panels may elect to have additional members.

All deaths, ages birth to 17, must be reported to the coroner/medical examiner.

Children, age 1 week to 1 year, who die in a sudden, unexplained manner must have an autopsy.

A state CFRP must meet at least twice per year to review the program's progress and identify systemic needs and problems.

Panels must use uniform protocols and data collection forms.

Certified child-death pathologists must perform the autopsies.

Knowingly violating reporting requirements is a Class A misdemeanor.

When a child's death meets the criteria for review, activation of the panel must occur within 24 hours of the child's death, with a meeting scheduled as soon as practical.

## **Appendix 3. Review Process**

Any child who dies, birth through age 17, will be reported to the coroner/medical examiner.

The coroner/medical examiner conducts a death-scene investigation, notifies DFS and completes Data Form 1 on all deaths of children, birth through age 17. The coroner/medical examiner, with certified child-death pathologist, determines need for autopsy.

If an autopsy is needed, it is performed by a certified child-death pathologist. Results are brought to the child fatality review panel by the coroner/medical examiner, if reviewable criteria are met.

If death is <u>not reviewable</u>, the Data Form 1 is completed by the coroner/medical examiner. The coroner/medical examiner sends the Data Form 1 to the chairperson of the child fatality review panel for cosignature. The chairperson sends the Data Form 1 to the STAT regional coordinator within 48 hours.

If death is <u>reviewable</u>, the coroner/medical examiner sends the Data Form 1 to the chairperson of child fatality review panel for co-signature. the chairperson sends Data Form 1 to the STAT regional coordinator within 48 hours. The chairperson refers the death to the child fatality review panel. (The panel is notified within 24 hours.)

The STAT regional coordinator reviews the Data Form 1 for accuracy and completeness, signs it and the Data Form 1 is then linked to the Department of Health and Senior Services birth and death data.

The panel meeting is scheduled by the chairperson, as soon as possible. The panel reviews circumstances surrounding the death and takes appropriate actions. The Data Form 2 is completed, co-signed by the chairperson and sent to the STAT regional coordinator within 60 days.

Data Form 1 and Data Form 2 are available on the STAT website: www.dss.state.mo/stat/index.htm.

The Data Forms 1 and 2 are linked to the Department of Health and Senior Services birth and death data. Panel members pursue the mandates of their respective agencies.

## Appendix 4. Missouri Incident Child Fatalities (Age less than 18) by County 1999-2001

County of Event	All Deaths			Reviewed Deaths			Injury Deaths			Census
	1999	2000	2001	1999	2000	2001	1999	2000	2001	Population
ADAIR	2	0	0	1	0	0	0	0	0	4,796
ANDREW	2	4	2	2	2	1	2	1	2	4,348
ATCHISON	0	1	0	0	0	0	0	0	0	1,547
AUDRAIN	1	6	2	0	3	1	0	3	1	6,360
BARRY	5	3	3	3	1	1	3	3	2	8,875
BARTON	3	0	2	2	0	1	2	0	2	3,445
BATES	0	3	2	0	3	1	0	3	1	4,419
BENTON	1	3	2	1	3	2	0	3	1	3,516
BOLLINGER	2	1	0	1	0	0	1	0	0	3,151
BOONE	48	50	38	12	15	13	9	10	11	30,902
BUCHANAN	14	14	13	2	8	6	3	7	4	20,937
BUTLER	15	9	7	- 9	5	1	5	3	2	9,886
CALDWELL	3	1	2	3	1	2	1	1	1	2,428
CALLAWAY	1	9	9	0	4	6	0	4	4	10,371
CAMDEN	6	6	7	1	3	5	2	2	5	7,508
CAPE GIRARDEAU	9	10	6	3	0	5	1	1	4	16,097
CARROLL	2	0	0	-1	0	0	1	0	0	2,589
CARTER	6	0	1	4	0	1	4	0	1	1,493
CASS	1	11	5	0	5	3	1	4	1	23,307
CEDAR	1	2	2	1	2	2	0	2	2	3,382
CHARITON	1	1	1	1	0	1	1	1	1	1,997
CHRISTIAN	3	6	4	1	3	3	2	3	1	15,114
CLARK	0	0	1	0	0	1	0	0	1	1,852
CLAY	29	23	22	18	12	9	13	5	4	47,530
CLINTON	1	4	1	0	0	1	0	3	1	5,079
COLE	10	21	11	7	10	9	7	8	4	17,294
COOPER	1	1	3	0	0	3	0	0	3	3,801
CRAWFORD	4	6	1	3	5	0	2	6	1	5,990
DADE	0	0	2	0	0	2	0	0	2	1,928
DALLAS	2	4	6	0	3	2	2	2	1	4,302
DAVIESS	1	2	2	1	1	2	0	1	2	2,162
DE KALB	1	2	1	1	2	1	1	2	0	2,403
DENT	4	0	1	2	0	0	3	0	0	3,716
DOUGLAS	7	0	5	5	0	2	6	0	2	3,382
DUNKLIN	7	10	2	6	5	0	3	2	0	8,613
FRANKLIN	15	12	12	12	6	11	10	5	9	25,661
GASCONADE	2	2	2	0	1	1	2	2	1	3,800
GENTRY	0	1	0	0	0	0	0	0	0	1,782
GREENE	52	52	55	14	6	12	13	7	10	53,501
GRUNDY	2	32 1	3	0	0	12	13	1	10	2,424
HARRISON							0		0	
	1	1	1	0	1	1		1		2,103
HENRY	2	6	3	1	4	3	1	4	1	5,220
HICKORY	0	1	1	0	0	1	0	1	1	1,782
HOLT	1	0	0	1	0	0	1	0	0	1,272

## Appendix 4. Missouri Incident Child Fatalities (Age less than 18) by County 1999-2001

County of Event	All Deaths			Reviewed Deaths			Injury Deaths			Census	
	1999	2000	2001	1999	2000	2001	1999	2000	2001	Population	
HOWARD	0	0	1	0	0	1	0	0	1	2,451	
HOWELL	3	8	4	1	4	3	2	5	3	9,676	
IRON	2	3	2	2	3	2	2	2	2	2,673	
JACKSON	171	130	181	84	65	71	49	32	45	168,766	
JASPER	14	11	12	6	3	9	2	6	5	26,952	
JEFFERSON	23	29	16	18	18	12	13	15	10	55,270	
JOHNSON	8	6	9	4	4	6	6	4	5	12,124	
KNOX	4	1	0	3	1	0	4	0	0	1,087	
LACLEDE			7	3	4	3	2	1	1	8,675	
LAFAYETTE	4 3	5	3	1	4	2	3	4	1	8,636	
LAWRENCE	6	5	2	2 2	4	1	2	3	1	9,578	
LAWKENCE LEWIS		8	0			0	0	2	0		
LINCOLN	0	2		. 0	0		8	4	4	2,627 11,691	
	10	10	6	8	6	4	1				
LINN LIVINGSTON	2	0	0	2	0	0		0	0	3,489	
MCDONALD	5	1	4	2	1	1	2 4		1	3,553	
	5	8	3	0	8	3		5	2	6,259	
MACON	1	2	7	0	2	4	0	0	2	3,820	
MADISON	3	1	1	1	1	0	2	0	0	2,904	
MARIES	3	1	1	2	1	1	1	1	1	2,318	
MARION	0	3	4	0	1	0	0	1	0	7,269	
MERCER	0	1	1	0	1	1	0	1	1	864	
MILLER	3	3	0	2	1	0	3	1	0	6,198	
MISSISSIPPI	1	4	2	1	2	1	0	2	1	3,534	
MONITEAU	5	6	2	3	6	2	3	5	2	3,836	
MONROE	4	3	1	1	1	1	1	2	1	2,410	
MONTGOMERY	0	3	2	0	2	2	0	2	2	3,085	
MORGAN	2	2	2	2	1	2	2 2	0	1	4,595	
NEW MADRID	4	2	6	1	0	0	3	2	4 4	5,223	
NEWTON NODAWAY	19	20	16	2	6	4	1	6	0	13,819	
	1	2	1	1	0	0		2 0	1	4,245	
OREGON	3	2	0	0	1	0	3		0	2,515	
OSAGE OZARK	1	2	5	1	0 2	2	0	2 1	4 0	3,437	
ozakk Pemiscot		2	1	0 4	2		2	1	1	2,107 6,015	
	7	6	1			1					
PERRY PETTIS	5	3	3	1	3	1	3 7	3	1 3	4,715	
	10	5	6	8	3	4		2		10,377	
PHELPS	10	12	3	6	6	3	7	8	0	9,442	
PIKE	3	2	1	0	1	0	2	0	1 2	4,293	
PLATTE	6	17	5	3	3	2	1	3	1	19,026	
POLK	4	5	2	2	0	2	4	2	0	6,947	
PULASKI	11	4	6	6	2	4	4	2	3	11,338	
PUTNAM	0	1	0	0	0	0	0	1	0	1,254	
RALLS	2	1	4	2	1	2	2	1	3	2,429	
RANDOLPH	7	2	0	4	1	0	4	1	0	5,874	
RAY	2	2	3	2	0	2	0	1	2	6,433	
REYNOLDS	0	0	4	0	0	2	0	0	1	1,608	
RIPLEY	3	1	0	3	1	0	2	1	0	3,352	

## Appendix 4. Missouri Incident Child Fatalities (Age less than 18) by County 1999-2001

County of Event	All Deaths			Reviewed Deaths			Injury Deaths			Census	
•	1999	2000	2001	1999	2000	2001	1999	2000	2001	Population	
ST CHARLES	24	31	26	17	15	12	14	9	9	82,248	
ST CLAIR	3	1	1	0	1	0	3	0	. 1	2,219	
ST FRANCOIS	6	14	9	5	11	7	2	3	4	13,335	
ST LOUIS COUNTY	182	186	193	54	62	54	24	26	32	255,991	
STE GENEVIEVE	2	3	3	0	1	2	1	2	2	4,749	
SALINE	2	3	6	1	1	3	1	1	3	5,773	
SCHUYLER	0	1	1	0	0	0	0	1	0	1,027	
SCOTLAND	0	1	0	0	0	0	0	0	0	1,423	
SCOTT	8	7	8	1	4	3	0	4	1	11,085	
SHANNON	0	0	1	0	0	1	0	0	1	2,199	
SHELBY	1	1	0	0	1	0	0	1	0	1,729	
STODDARD	4	3	4	2	2	2	2	2	0	7,093	
STONE	0	4	7	0	4	4	0	1	2	6,138	
SULLIVAN	0	1	0	0	0	0	0	0	0	1,807	
TANEY	1	6	3	0	3	1	0	5	1	8,912	
TEXAS	3	6	4	2	2	2	1	3	1	5,734	
VERNON	7	3	4	2	1	2	1	1	1	5,436	
WARREN	4	2	1	2	2	1	2	2	1	6,586	
WASHINGTON	1	2	5	0	1	5	0	1	4	6,205	
WAYNE	0	1	1	0	1	1	0	0	0	3,079	
WEBSTER	4	4	3	2	1	2	2	2	1	8,957	
WORTH	0	0	0	0	0	0	0	0	0	579	
WRIGHT	1	5	0	0	2	0	1	1	0	4,877	
ST LOUIS CITY	212	160	169	65	70	72	36	31	43	89,657	

## Appendix 5. Missouri Incident Child Fatalities (Age less than 18) by Age, Sex and Race 1999-2001

Characteristic		All Deaths			viewed Dea	aths	Injury Deaths			
	1999	2000	2001	1999	2000	2001	1999	2000	2001	
Age of Child										
O Cinia	664	616	611	176	192	166	45	44	52	
1	34	32	35	21	172	17	14	9	14	
2	21	31	26	15	22	17	10	17	15	
3	21	25	27	10	19	15	7	14	11	
4	9	12	14	5	8	12	6	7	10	
5	12	21	12	8	13	8	5	13	7	
6	18	21	9	12	13	5	11	12	5	
7	12	20	14	9	11	9	9	11	8	
8	17	17	16	9	10	9	11	10	7	
9	9	16	8	4	8	4	4	6	4	
10	16	22	17	10	12	10	9	10	11	
11	18	14	15	11	10	10	9	7	9	
12	21	13	10	14	10	6	12	7	4	
13	16	20	15	11	13	8	9	12	7	
14	24	35	30	19	15	24	21	22	22	
15	46	37	47	31	26	35	37	24	33	
16	72	63	52	44	33	37	61	52	39	
17	83	65	73	58	42	59	72	56	59	
21*	0	1	0	0	1	0	0	1	0	
unknown	0	0	1	0	0	1	0	0	1	
TOTAL	1,113	1,081	1,032	467	475	452	352	334	318	
Sex of Child										
Male	673	618	611	302	275	268	236	215	198	
Female	440	463	421	165	200	184	116	119	120	
TOTAL	1,113	1,081	1,032	467	475	452	352	334	318	
Race of Child										
White	770	787	706	304	320	306	266	262	221	
Black	328	284	310	156	152	141	82	69	93	
Other	11	6	9	5	1	3	3	2	3	
Unknown	4	4	7	2	2	2	1	1	1	
TOTAL	1,113	1,081	1,032	467	475	452	352	334	318	

<sup>\*</sup> Child disappeared at age 15, remains were found 6 years later in 2000.

## **Appendix 6. Definitions of Important Terms and Variables**

#### **Certified Death:**

Death included in the Department of Health, Missouri Center for Health Statistics (MCHS) mortality file, **reported by the death certificate.** 

#### **Missouri Incident Death:**

Death within Missouri of a child younger than 18 years. On the basis of data from the CFRP Data Form 1 or Data Form 2, one of the following is true:

- The child died as a result of an injury which occurred in Missouri.
- The child died as a result of a natural (non-injury) cause which occurred, or is assumed to have occurred, within Missouri. (This excludes deaths due to illness or other natural cause which occurred outside Missouri; e.g., a non-Missouri residence.)
- The child was born in Missouri and died as a newborn (within ten days of birth) without having left the state.

#### **CFRP Cause of Death:**

Cause of death as reported on CFRP Data Forms 1 and 2. The forms include a category for natural cause which includes congenital anomalies, perinatal conditions, and Sudden Infant Death Syndrome (SIDS), sudden unexplained death and injuries classified by the type of agent or force which caused the injury (i.e., vehicular, drowning, firearm, fall, poisoning). The CFRP provides for an indication of whether or not the injury was inflicted, that is, whether it occurred as a result of the action of another person, without regard to intent or purpose of the action. If the case is referred to the CFRP panel for review, Data Form 2 is completed to report the findings of the panel. The Data Form 2 report includes information relevant to possible child abuse and neglect and information related to criminal proceedings.

#### **Mortality File Cause of Death:**

The Department of Health Mortality File lists cause of death as reported by the ICD-10 code on Missouri death certificates. The ICD-10 coding classification system includes natural causes such as various diseases, congenital anomalies, perinatal conditions and certain ill-defined conditions (which includes SIDS). The injury classification includes those identified as "accidents" (unintentional), those considered intentional (homicide, suicide) and those with undetermined intent. Injury deaths are further classified by the type of agent or force which caused the injury (i.e., motor vehicle crash, firearm, poisoning, burn, fall, drowning).

#### **Mortality File Manner of Death:**

Cause of death reported in mortality file was formatted to conform to "Manner of Death" variable in the death certificate. This includes six categories based on the ICD-10 code: Natural; Accident; Suicide; Homicide; Undetermined; and Pending Investigation.

## **Appendix 6. Definitions of Important Terms and Variables**

#### **Sudden Infant Death Syndrome (SIDS):**

Sudden death of an infant under one year of age which remains unexplained after a thorough case investigation, including performance of a complete autopsy, examination of the death scene and review of clinical and social history.

- Mortality File SIDS: Death by SIDS, as defined operationally by being reported in the mortality file associated with the ICD-10 code 7980.
- CFRP SIDS: Death by SIDS, as defined operationally by being reported in the CFRP file, from Data Form 1 and Data Form 2, as due to SIDS.

#### **Sudden, Unexplained Infant Death:**

Sudden death of an infant less than one year of age due to unexplained cause, requiring the postmortem examination, scene investigation or review of social and medical history. Defined operationally by being reported as sudden, unexplained death on Data Form 1.

#### **Reviewable Death:**

Death which as been reported by Data Form 1 as requiring review by the CFRP panel, whether or not the review has yet been completed and reported. The Data Form 1 report is required for all child deaths that occur in Missouri, and includes an indication of whether a review of that death will be required. If Data Form 1 indicates a reviewable death, Data Form 2 should be completed after the review.

#### **Reviewed Death:**

Death that has been reviewed by a local CFRP panel and reported on Data Form 2.

#### **Mortality File County of Death:**

The county, reported in the mortality file, in which the death was officially recorded. May be a Missouri or non-Missouri county.

#### **CFRP County of Death:**

The county, reported by the Data Form 1 and Data Form 2, in which the death occurred. Only deaths in Missouri are included in the CFRP database.

#### **CFRP County of Incident:**

The county, reported by Data Form 1 and Data Form 2, in which the fatal illness, injury or event occurred. If the county of incident is a Missouri county, the death is by definition a Missouri incident death. If the county of incident is outside the state of Missouri, the death is by definition not a Missouri incident death. If the county is in Missouri, but the county of incident is not, only identifying information (Section A of Data Form 1) is requested.

#### **CFRP County of Residence:**

The county, reported by Data Form 1 or Data Form 2, as the county of decedent's residence may be a Missouri or non-Missouri county. If the child is a newborn, the newborn's county of residence is the mother's county of residence.

#### **CFRP Region:**

Location, reported by Data Form 1 and Data Form 2, in which the fatal illness, injury or event occurred, formatted to conform to the seven geographic regions defines for the CFRP program.

### **Appendix 6. Definitions of Important Terms and Variables**

#### **Division of Family Services Child Abuse/Neglect (CA/N):**

Death for which the Division of Family Services (DFS) reports probable cause findings for child abuse or neglect. Probable cause may result from DFS investigation or court adjudication. Abuse refers to physical, sexual or emotional maltreatment or injury inflicted on a child, other than accidentally, by those responsible for the child's care, custody and control. Neglect refers to failure by those responsible for the child's care, custody and control to provide the proper or necessary support, education, nutrition, medical care or other care necessary for the child's well-being.

#### **CFRP Fatal Child Abuse and Neglect:**

Child death resulting directly from inflicted physical injury and/or negligent treatment by parent or caretaker, regardless of motive or intent.

#### **Mortality File Child Abuse/Neglect:**

Death for which the ICD-10 code in the mortality file indicates abuse or neglect. Relevant ICD-10 codes are 904.0, 967 and 968.4. these abuse/neglect deaths are usually under-reported relative to those reported by DFS as substantiated child abuse or neglect.

#### **Mortality File Homicide Death:**

Manner of death due to homicide, as reported by ICD-10 codes 960-979.

#### **Mortality File Suicide Death:**

Manner of death due to suicide, as reported by ICD-10 codes 950-959.

#### **Mortality File Autopsy:**

Indication from mortality file that decedent was autopsied.

#### **CFRP Autopsy:**

Indication from CFRP file that decedent was autopsied and how the autopsy was paid for.

### **Appendix 7. Death Certificate Manner of Death**

(Summarized from: A Guide for Manner of Death Classification, draft presented to the National Association of Medical Examiners, September 24, 2001, prepared by Randy Hanzlick, M.D., John Hunsaker III, M.D., and Gregory J. Davis, M.D.)

All states have a standard death certificate that is based upon a model certificate called the US Standard Certificate of Death. The *certifier of death* is the physician, medical examiner or coroner who completes the cause of death section of the certificate that also includes details about the circumstances surrounding the death. Manner of death is one of the items that must be reported on the death certificate and a classification of death based on the circumstances surrounding a particular cause of death and how that cause came into play. In most states, the acceptable options for manner of death classification are: Natural, Accident, Suicide, Homicide and Undetermined.

The death certificate is used for two major purposes. One is to serve as legal documentation that a specific individual has died. In general, the death certificate serves as legal proof that death has occurred, but **not** as legal proof of the cause of death. The second major purpose of the death certificate is to provide information for mortality statistics that may be used to assess the nation's health, cause of morbidity and mortality and developing priorities for funding and programs that involve public health and safety issues.

Manner of death is an American invention. A place to classify manner of death was added to the US Standard Certificate of Death in 1910. It was added to the death certificate by public health officials to assist in clarifying the circumstances of death and how an injury was sustained - not as a legally binding opinion. In general, the certifier of death completes the cause of death section and attest that, to the best of the certifier's knowledge, the person stated died of the cause(s) and circumstances reported on the death certificate. Information on the death certificate may be changed, if needed.

There are basic, general "rules of thumb" for classifying manner of death.

- Natural deaths are due solely or nearly totally to disease and/or the aging process.
- Accident applies when an injury or poisoning occurred with intent to harm or cause death. In essence, the fatal outcome was unintentional.
- Suicide results from an injury or poisoning as a result of an intentional, self-inflicted act committed to do self-harm or cause the death of one's self.
- Homicide occurs when death results from a volitional act committed by another person to cause fear, harm or death. Intent to kill is a common element but is <u>not</u> required for classification as homicide.
- Undetermined is used when the information pointing to one manner of death is no more compelling than one or more other competing manners of death when all available information is considered.

In evaluating the manner of death in cases involving external causes or factors (such as injury or poisoning), injuries are often categorized as "intentional" (such as inflicted injury in child abuse) or "unintentional" (such as falling from a building). Intent is much more apparent in some cases than in others and it is often difficult to assess a victim's or perpetrator's intent. The concept of "voluntary acts" or volition is helpful. In general, if a person's death results at the "hands of another" who committed a harmful volitional act directed at the victim, the death may be considered a homicide from the death investigation standpoint.

## State Technical Assistance Team

## Child Fatality Review Program

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Susan Stoltz
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